

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Title: SELECTIVE ORGAN
John D. Dobak, III, et al.) COOLING APPARATUS
AND METHOD
Serial No.: Not Yet Assigned) Examiner: Not Yet Known
Filed: January 23, 2004) Group Art 3739
Unit:

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Continuation Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449

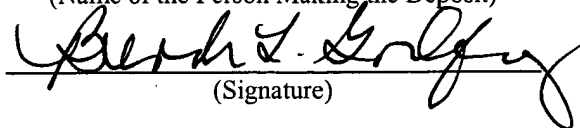
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January 23, 2004

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Brenda L. Godfrey

(Name of the Person Making the Deposit)


(Signature)

January 23, 2004

(Date of Signature)

1. Preliminary Statements

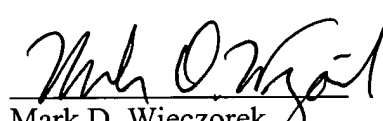
Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. These citations have previously been submitted in a parent case, application serial number 10/161,107, thus copies of the cited references are not included with this submission.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within three (3) months of the application filing date or before receipt of the First Office Action.

However, if any fees are required, authorization is hereby given to the Commissioner for Patents to charge the same to Deposit Account No. 50-0914.

Date: Jan. 23, 2004


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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	Unknown	
			Filing Date	January 23, 2004	
			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3731	
			Examiner Name	Unknown	
Sheet	1	of	15	Attorney Docket Number	040003

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	Re. 33,911		Samson et al.	05/05/1992	
	AB	1,011,606		Fulton	12/12/1911	
	AC	2,148,541		Dierker	02/28/1939	
	AD	2,308,484		Auzin, et al.	01/19/1943	
	AE	2,374,609		McCollum	04/24/1945	
	AF	2,466,042		Reich	04/05/1949	
	AG	2,615,686		Davidson	10/28/1982	
	AH	2,672,032		Towse	03/16/1954	
	AI	2,913,009		Kuthe	11/17/1959	
	AJ	3,125,096		Antiles et al.	07/07/1961	
	AK	3,298,371		A.S.J. Lee	01/17/1967	
	AL	3,425,419		A.A. Dato	02/04/1969	
	AM	3,460,538		Armstrong	08/12/1969	
	AN	3,504,674		Swenson, et al.	04/07/1970	
	AO	3,604,419		Diskin et al.	09/19/1968	
	AP	3,612,175		Ford et al.	07/01/1969	
	AQ	3,768,484		Gawura	10/30/1973	
	AR	3,865,116		Brooks	02/11/1975	
	AS	3,888,259		Miley	06/10/1975	
	AT	3,971,383		Van Gerven	07/27/1976	
	AU	4,038,519		Foucras	07/26/1977	
	AV	4,153,048		Magrini	05/18/1979	
	AW	4,160,455		Law	07/10/1979	
	AX	4,190,033		Foti	02/26/1980	
	AY	4,216,767		Aoshiro	08/12/1980	
	AZ	4,231,425		Engstrom	11/04/1980	
	BA	4,241,729		Aoshiro	12/30/1980	
	BB	4,275,734		Mitchiner	06/30/1981	
	BC	4,298,006		Parks	11/03/1981	
	BD	4,318,722		Altman	03/09/1982	
	BE	4,323,071		Simpson et al.	04/06/1982	
	BF	4,427,009		Wells, et al.	01/24/1984	
	BG	4,445,500		Osterholm	05/01/1984	
	BH	4,464,172		Lichtenstein	08/07/1984	
	BI	4,483,341		Witteles	11/20/1984	
	BJ	4,484,586		McMickle et al.	11/27/1984	
	BK	4,497,890		Helbert	02/05/1985	
	BL	4,502,286		Okada, et al.	03/05/1985	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹²Unique citation designation number. *Applicant is to place a check mark here if English language Translation is attached.

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		Filing Date	January 23, 2004		
		First Named Inventor	John D. Dobak, III		
		Group Art Unit	3731		
		Examiner Name	Unknown		
Sheet	2	of	15	Attorney Docket Number	040003

	BM	4,569,355	Bitterly	02/11/1986	
	BN	4,581,017	Sahota	04/08/1986	
	BO	4,602,642	O'Hara et al.	07/29/1986	
	BP	4,655,746	Daniels, et al.	04/07/1987	
	BQ	4,672,962	Hershenson	06/16/1987	
	BR	4,731,072	Aid	09/06/1994	
	BS	4,739,492	Cochran	04/19/1988	
	BR	4,745,922	Taylor	05/24/1988	
	BU	4,747,826	Sassano	05/31/1988	
	BV	4,748,979	Hershenson	06/07/1988	
	BW	4,750,493	Brader	06/14/1988	
	BX	4,762,129	Bonzel	08/09/1988	
	BY	4,762,130	Fogarty, et al.	08/09/1988	
	BZ	4,781,799	Herbert, Jr. et al.	11/01/1988	
	CA	4,796,640	Webler	01/10/1989	
	CB	4,817,624	Newbower	04/04/1989	
	CC	4,819,655	Webler	04/11/1989	
	CD	4,820,349	Saab	04/11/1989	
	CE	4,860,744	Johnson, et al.	08/29/1989	
	CF	4,883,455	Leonard	11/28/1989	
	CG	4,894,164	Polaschegg	01/16/1990	
	CH	4,904,237	Janese	02/27/1990	
	CI	4,920,963	Brader	05/01/1990	
	CJ	4,951,677	Crowley et al.	08/28/1990	
	CK	4,964,409	Tremulis	10/23/1990	
	CL	4,973,493	Guire	11/27/1990	
	CM	4,979,959	Guire	12/25/1990	
	CN	5,000,734	Boussignac et al.	03/19/1991	
	CO	5,002,531	Bonzel	05/26/1991	
	CP	5,014,695	Benak, et al.	05/14/1991	
	CQ	5,018,521	Campbell	95/28/1991	
	CR	5,019,075	Spears, et al.	05/28/1991	
	CS	5,024,668	Peters et al.	06/18/1991	
	CT	5,041,089	Mueller, et al.	08/20/1991	
	CU	5,046,497	Millar	09/10/1991	
	CV	5,078,713	Varney	01/07/1992	
	CW	5,089,260	Hunter et al.	02/18/1992	
	CX	5,092,841	Spears	03/03/1992	
	CY	5,106,360	Ishwara, et al.	04/21/1992	
	CZ	5,106,368	Uldall et al.	04/21/1992	
	DA	5,108,390	Potocky et al.	04/28/1992	
	DB	5,110,721	Anaise, et al.	05/05/1992	

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		Application Number	Unknown		
		Filing Date	January 23, 2004		
		First Named Inventor	John D. Dobak, III		
		Group Art Unit	3731		
		Examiner Name	Unknown		
Sheet	3	of	15	Attorney Docket Number	040003

	DC	5,112,438		Bowers	05/12/1992	
	DD	5,117,822		Laghi	06/02/1992	
	DE	5,147,355		Friedman et al.	09/15/1992	
	DF	5,149,321		Klatz, et al.	09/22/1992	
	DG	5,150,706		Cox, et al.	09/29/1992	
	DH	5,151,100		Abele, et al.	09/29/1992	
	DI	5,180,364		Ginsburg	01/19/1993	
	DJ	5,190,539		Fletcher, et al.	03/02/1993	
	DK	5,191,883		Lennox, et al.	03/09/1993	
	DL	5,196,024		Barath	03/23/1993	
	DM	5,211,631		Sheaff	05/18/1993	
	DN	5,234,405		Klatz, et al.	08/10/1993	
	DO	5,236,908		Gruber et al.	08/17/1993	
	DP	5,246,421		Saab	10/25/1994	
	DQ	5,248,312		Langberg	09/28/1993	
	DR	5,250,070		Parodi	10/05/1993	
	DS	5,257,977		Eshel	11/02/1993	
	DT	5,264,260		Saab	11/23/1993	
	DU	5,267,341		Shearin	11/30/1993	
	DV	5,269,369		Faghri	12/14/1993	
	DW	5,269,749		Koturov	12/14/1993	
	DX	5,269,758		Taheri	12/14/1993	
	DY	5,281,213		Milder et al.	01/25/1994	
	DZ	5,281,215		Milder	01/25/1994	
	EA	5,306,261		Alliger et al.	04/26/1994	
	EB	5,310,440		Zingher	05/10/1994	
	EC	5,322,514		Steube et al.	06/21/1994	
	ED	5,322,515		Karas et al.	06/21/1994	
	EE	5,322,518		Schneider et al.	06/21/1994	
	EF	5,326,165		Walthall et al.	07/05/1994	
	EG	5,326,166		Walthall et al.	07/05/1994	
	EH	5,330,435		Vaillancourt	07/19/1994	
	EI	5,330,519		Swendson et al.	10/11/1994	
	EJ	5,334,193		Nardella	08/02/1994	
	EK	5,342,301		Saab	08/30/1994	
	EL	5,344,436		Fontenot, et al.	09/06/1994	
	EM	5,344,740		Iwasawa et al.	09/06/1994	
	EN	5,364,364		Kasvikis et al.	11/15/1994	
	EO	5,365,750		Greenthal	11/22/1994	
	EP	5,368,591		Lennox, et al.	11/29/1994	
	EQ	5,383,854		Safar et al.	01/24/1995	
	ER	5,383,918		Panetta	01/24/1995	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 4 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

ES	5,395,314	Klatz, et al.	03/07/1995
ET	5,395,331	O'Neill, et al.	03/07/1995
EU	5,403,281	O'Neill et al.	04/04/1995
EV	5,417,686	Peterson, et al.	05/23/1995
EW	5,423,745	Todd, et al.	06/13/1995
EX	5,423,807	Milder	06/13/1995
EY	5,433,740	Yamaguchi	07/18/1995
EZ	5,437,673	Baust, et al.	08/01/1995
FA	5,443,456	Alliger et al.	08/22/1995
FB	5,462,521	Brucker, et al.	10/31/1995
FC	5,486,204	Clifton	01/23/1996
FD	5,486,208	Ginsburg	01/23/1996
FE	5,496,271	Burton et al.	03/05/1996
FF	5,496,311	Abele et al.	03/05/1996
FG	5,499,973	Saab	03/19/1996
FH	5,520,682	Baust et al.	05/28/1996
FI	5,531,776	Ward, et al.	07/02/1996
FJ	5,536,247	Thornton	07/16/1996
FK	5,545,708	Onwunaka et al.	08/13/1996
FL	5,549,559	Eshel	08/27/1996
FM	5,554,119	Harrison et al.	09/10/1996
FN	5,588,438	McKown et al.	12/31/1996
FO	5,558,644	Boyd, et al.	09/24/1996
FP	5,569,195	Saab	10/29/1996
FQ	5,573,532	Chang, et al.	11/12/1996
FR	5,578,008	Hara	11/26/1996
FS	5,584,804	Klatz, et al.	12/17/1996
FT	5,588,438	McKown, et al.	12/31/1996
FU	5,591,162	Fletcher, et al.	01/07/1997
FV	5,620,480	Rudie	04/15/1997
FW	5,622,182	Jaffe	04/22/1997
FX	5,624,342	Younger	08/29/1997
FY	5,624,392	Saab	04/29/1997
FZ	5,630,837	Crowley	05/20/1997
GA	5,643,197	Brucker et al.	07/01/1997
GB	5,647,051	Neer	07/08/1997
GC	5,653,692	Masterson et al.	08/05/1997
GD	5,676,693	LaFontaine	10/14/1997
GE	5,709,654	Klatz et al.	01/20/1998
GF	5,713,941	Robins, et al.	02/03/1998
GG	5,716,386	Ward, et al.	02/10/1998
GH	5,733,318	Augustine	03/31/1998

Examiner Signature	Date Considered
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		First Named Inventor	John D. Dobak, III		
		Group Art Unit	3731		
		Examiner Name	Unknown		
Sheet	5	of	15	Attorney Docket Number	040003

	GI	5,733,319	Neilson et al.	03/31/1998	
	GJ	5,735,809	Gorsuch	04/07/1998	
	GK	5,797,878	Bleam	08/25/1998	
	GL	5,799,661	Boyd et al.	09/01/1998	
	GM	5,800,480	Augustine, et al.	09/01/1998	
	GN	5,800,483	Vought	09/01/1998	
	GO	5,800,486	Thome et al.	09/01/1998	
	GP	5,800,488	Crockett	09/01/1998	
	GQ	5,800,493	Stevens et al.	09/01/1998	
	GR	5,800,516	Fine et al.	09/01/1998	
	GS	5,807,391	Wijkamp	09/15/1998	
	GT	5,820,593	Safar et al.	10/13/1998	
	GU	5,824,030	Yang, et al.	10/20/1998	
	GV	5,827,222	Klatz, et al.	10/27/1998	
	GW	5,827,237	Macoviak, et al.	10/27/1998	
	GX	5,827,269	Saadat	10/27/1998	
	GY	5,833,671	Macoviak, et al.	11/10/1998	
	GZ	5,833,673	Ockuly et al.	11/10/1998	
	HA	5,834,465	Olney	11/10/1998	
	HB	5,837,003	Ginsburg	11/17/1998	
	HC	5,861,021	Thome et al.	01/19/1999	
	HD	5,868,735	Lafontaine	02/09/1999	
	HE	5,871,526	Gibbs, et al.	02/16/1999	
	HF	5,873,835	Hastings, et al.	02/23/1999	
	HG	5,879,316	Safar et al.	03/09/1999	
	HH	5,879,329	Ginsburg	03/09/1999	
	HI	5,891,094	Masterson et al.	04/06/1999	
	HJ	5,899,898	Arless et al.	05/04/1999	
	HK	5,899,899	Arless, et al.	05/04/1999	
	HL	5,902,268	Saab	05/11/1999	
	HM	5,906,588	Safar et al.	05/25/1999	
	HN	5,906,594	Scarfone et al.	05/25/1999	
	HO	5,906,636	Casscells, III et al.	05/25/1999	
	HP	5,910,104	Dobak III et al.	06/08/1999	
	HQ	5,913,856	Chia et al.	06/22/1999	
	HR	5,913,885	Klatz, et al.	06/22/1999	
	HS	5,913,886	Soloman	06/22/1999	
	HT	5,916,242	Schwartz	06/29/1999	
	HU	5,957,917	Doiron et al.	09/28/1999	
	HV	5,957,963	Dobak, III	09/28/1999	
	HW	5,964,751	Amplatz et al.	10/12/1999	
	HX	5,967,976	Larsen et al.	10/19/1999	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 6 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

	HY	5,968,009	Simám	10/19/1999	
	HZ	5,971,979	Joye et al.	10/26/1999	
	IA	5,989,238	Ginsburg	11/23/1999	
	IB	6,007,692	Herbert et al.	12/28/1999	
	IC	6,011,995	Guglielmi et al.	01/04/2000	
	ID	6,019,783	Phillips et al.	02/01/2000	
	IE	6,022,336	Zadno-Azizi et al.	02/08/2000	
	IF	6,024,740	Lesh et al.	02/15/2000	
	IG	6,033,383	Ginsburg	03/07/2000	
	IH	6,042,559	Dobak, III	03/28/2000	
	II	6,051,019	Dobak, III	04/18/2000	
	IJ	6,063,101	Jacobsen et al.	05/16/2000	
	IK	6,096,068	Dobak, III	08/01/2000	
	IL	6,110,168	Ginsburg	08/29/2000	
	IM	6,126,684	Gobin et al.	10/30/2000	
	IN	6,146,411	Noda et al.	11/14/2000	
	IO	6,146,814	Millet	11/14/2000	
	IP	6,149,670	Worthen et al.	11/21/2000	
	IQ	6,149,673	Ginsburg	11/21/2000	
	IR	6,149,676	Ginsburg	11/21/2000	
	IS	6,149,677	Dobak, III	11/21/2000	
	IT	6,165,207	Balding et al.	12/26/2000	
	IU	6,182,666	Dobak III	02/06/2001	
	IV	6,194,899	Ishihara et al.	02/27/2001	
	IW	6,206,004	Schmidt et al.	03/27/2001	
	IX	6,224,624	Lasheras et al.	05/01/2001	
	IY	6,231,594	Dae	05/15/2001	
	IZ	6,231,595	Dobak, III	05/15/2001	
	JA	6,235,048	Dobak, III	05/22/2001	
	JB	6,238,428	Werneth et al.	05/29/2001	
	JC	6,245,095	Dobak, III et al.	06/12/2001	
	JD	6,251,129	Dobak, III et al.	06/26/2001	
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	JG	6,261,312	Dobak, III et al.	07/17/2001	
	JH	6,264,679	Keller et al.	07/24/2001	
	JI	6,277,143	Klatz et al.	08/21/2001	
	JJ	6,287,326	Pecor	09/11/2001	
	JK	6,290,697	Tu et al.	09/18/2001	
	JL	6,290,717	Philips	09/18/2001	
	JM	6,295,990	Lewis et al.	10/02/2001	
	JN	6,299,599	Pham et al.	10/09/2001	

Examiner
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 7 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

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JT	6,336,911	Westerbeck	01/08/2002
JU	6,338,727	Noda et al.	01/15/2002
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KG	6,432,102	Joye, et al.	08/13/2002
KH	6,432,124	Worthen et al.	08/13/2002
KI	6,436,130	Philips et al.	08/20/2002
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KK	20010001830	Dobak, III et al.	05/24/2001
KL	20010001831	Dobak, III et al.	05/24/2001
KM	20010001832	Dobak, III et al.	05/24/2001
KN	20010002442	Dobak, III	03/31/2001
KO	20010005791	Ginsburg et al.	06/28/2001
KP	20010007951	Dobak III	07/12/2001
KQ	20010008975	Dobak, III et al.	07/19/2001
KR	20010010011	Aliberto et al.	07/26/2001
KS	20010011184	Dobak III et al.	08/02/2001
KT	20010011185	Dobak III et al.	08/02/2001
KU	20010014802	Tu	08/16/2001
KV	20010016763	Lasheras et al.	08/23/2001
KW	20010016764	Dobak, III	08/23/2001
KX	20010021865	Dobak, III et al.	09/13/2001
KY	20010021866	Dobak III et al.	09/13/2001
KZ	20010027333	Schwartz	10/04/2001
LA	20010029394	Dobak, III et al.	10/11/2001
LB	20010031946	Walker et al.	10/18/2001
LC	20010032003	Pecor	10/18/2001
LD	20010032004	Werneth	10/18/2001
LE	20010039440	Lasheras et al.	11/08/2001

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Sheet 8 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

LF	20010041923	Dobak, III	11/15/2001
LG	20010044644	Keller et al.	11/22/2001
LH	20010047191	Lasersohn et al.	11/29/2001
LI	20010047192	Lasersohn et al.	11/29/2001
LJ	20010047196	Ginsburg et al.	11/29/2001
LK	20010049545	Lasersohn et al.	12/06/2001
LL	20020002394	Dobak, III	01/03/2002
LM	20020004675	Lasheras	01/10/2002
LN	20020007179	Dobak, III et al.	01/17/2002
LO	20020007202	Dobak, III et al.	01/17/2002
LP	20020007203	Gilmartin et al.	01/17/2002
LQ	20020016621	Werneth et al.	02/07/2002
LR	20020022823	Luo et al.	02/21/2002
LS	20020026227	Philips	02/28/2002
LT	20020029016	Pham et al.	03/07/2002
LU	20020032430	Luo et al.	03/14/2002
LV	20020032474	Dobak, III et al.	03/14/2002
LW	20020040717	Dobak, III	04/11/2002
LX	20020045852	Saab	04/18/2002
LY	20020045892	Kramer	04/18/2002
LZ	20020045925	Keller et al.	04/18/2002
MA	20020049409	Noda et al.	04/25/2002
MB	20020049410	Noda et al.	04/25/2002
MC	20020049484	Werneth et al.	04/25/2002
MD	20020066458	Aliberto et al.	06/06/2002
ME	20020068964	Dobak III	06/06/2002
MF	20020082671	Daoud	06/27/2002
MG	20020091378	Dobak III et al.	07/11/2002
MH	20020091429	Dobak III et al.	07/11/2002
MI	20020091430	Dobak III et al.	07/11/2002
MJ	20020095200	Dobak III et al.	07/18/2002
MK	20020095201	Worthen et al.	07/18/2002
ML	20020099427	Dobak III	07/25/2002
MM	20020103519	Dobak, III et al.	08/01/2002
MN	20020111616	Dae et al.	08/15/2002
MO	20020111657	Dae et al.	08/15/2002

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			Application Number	Unknown	
			Filing Date	January 23, 2004	
			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3731	
			Examiner Name	Unknown	
Sheet	9	of	15	Attorney Docket Number	040003

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	MQ		0 664 990		Heart Rhythm Technologies, Inc.	11/19/1997		
	MR		2 447 406		Commissariat L'Energie Atomique, France	03/06/1980		
	MS		AU730835	B2	Radiant Medical	03/15/2001		
	MT		AU734506	B2	Innercool Therapies	11/08/2001		
	MU		AU739996	B2	Innercool Therapies	10/25/2001		
	MV		AU743945	B2	Radiant Medical	02/07/2002		
	MW		EP0428505	B2	Gambro Lundia	03/14/2001		
	MX		EP0655225	A1	Cordis Europa N.V.	05/31/1993		
	MZ		EP1205167	A2	Alsuis Corporation	05/15/2002		
	NA		SU 806 029		Irkut Medical Inst.	02/23/1981		
	NB		WO 91/05528		Granulab B.V.	05/02/1991		
	NC		WO 93/04727		American Medical Systems	03/18/1993		
	ND		WO 95/01814		Urologix, Inc.	01/19/1995		
	NE		WO 96/40347		Heartport, Inc.	12/19/1996		
	NF		WO 97/01374		Urologix, Inc.	01/16/1997		
	NG		WO 97/25011		Thermocorps, Inc.	07/17/1997		
	NH		WO 97/32518		SciMed Life Systems	09/12/1997		
	NI		WO 98/26831		Radiant Medical, Inc.	06/25/1998		
	NJ		WO 98/31312		Radiant Medical, Inc.	07/23/1998		
	NK		WO 98/49957		Regents of the Univ. of CA	11/12/1998		
	NL		WO 99/02096		Regents of the Univ. of CA	01/21/1999		
	NM		WO 99/37226		Del Mar Medical Technologies, Inc.	07/29/1999		
	NN		WO 99/44519		Atrionix	09/10/1999		
	NO		WO 99/48449		Del Mar Medical Technologies, Inc.	09/30/1999		
	NP		WO 99/56812		Atrionix	11/11/1999		
	NQ		WO 99/66970		Del Mar Medical Technologies, Inc.	12/29/1999		
	NR		WO 99/66971		Del Mar Medical Technologies, Inc.	12/29/1999		
	NS		WO 00/09054		Alsuis Corporation	02/24/2000		
	NT		WO 00/10494		Radiant Medical, Inc.	03/02/2000		
	NU		WO 00/38601		Alsuis Corporation	07/06/2000		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 10 of 15

Complete if Known

Application Number	Unknown
Filing Date	January 23, 2004
First Named Inventor	John D. Dobak, III
Group Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	040003

NV	WO 00/47145	Alsius, Inc.	08/17/2000	
NW	WO 00/48670	Alsius Corporation	08/24/2000	
NX	WO 00/51534	Alsius Corporation	09/08/2000	
NY	WO 00/53135	Alsius Corporation	09/14/2000	
NZ	WO 00/57823	Alsius Corporation	10/05/2000	
OA	WO 00/62837	Alsius Corporation	10/26/2000	
OB	WO 00/66053	Alsius Corporation	11/09/2000	
OC	WO 00/72779	Alsius Corporation	12/07/2000	
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OE	WO 01/03606	Alsius Corporation	01/18/2001	
OF	WO 01/08580	Alsius Corporation	02/08/2001	
OG	WO 01/10323	Radiant Medical, Inc.	02/15/2001	
OH	WO 01/10365	Innercool Therapies	02/15/2001	
OI	WO 01/12061	Alsius Corporation	02/22/2001	
OJ	WO 01/12122	Alsius Corporation	02/22/2001	
OK	WO 01/13809	Radiant Medical, Inc.	03/01/2001	
OL	WO 01/13837	Innercool Therapies	03/01/2001	
OM	WO 01/17471	Alsius Corporation	03/15/2001	
ON	WO 01/19447	Alsius Corporation	03/22/2001	
OO	WO 01/26590	Innercool Therapies, Inc.	04/19/2001	
OP	WO 01/30413	Alsius Corporation	04/03/2001	
OQ	WO 01/41708	Alsius Corporation	06/14/2001	
OR	WO 01/43661	Radiant Medical	06/21/2001	
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OU	WO 01/56517	Alsius Corporation	08/09/2001	
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OW	WO 01/64145	Innercool Therapies, Inc.	09/07/2001	
OX	WO 01/64146	Radiant Medical	09/07/2001	
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PC	WO 01/87379	Innercool Therapies, Inc.	11/22/2001	
PD	WO 01/95840	Innercool Therapies, Inc.	12/20/2001	

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	Unknown		
		Filing Date	January 23, 2004		
		First Named Inventor	John D. Dobak, III		
		Group Art Unit	3731		
		Examiner Name	Unknown		
Sheet	11	of	15	Attorney Docket Number	040003

OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	PE	Alfonsi, P., D. I. Sessler, B. Du Manoir, J-C. Levron, J-P. Le Moing, M. Chauvin, "The Effects of Meperidine and Sufentanil on the Shivering Threshold in Postoperative Patients," <i>Anesthesiology</i> , July 1998, 89(1):43-48.	
	PF	Ambrus; <i>The Biphasic Nature and Temperature Dependence of the Activation of Human Plasminogen by Urokinase</i> ; 05/1979; pp. 339-347; Research Communications in Chemical Pathology and Pharmacology, Vol. 24, No. 2.	
	PG	Anon, "Automatic feedback instrumentation for hospital room utilizing microsensors," <i>IBM Technical Disclosure Bulletin (abs.)</i> , 29(3): 1 page, August 1986.	
	PH	Benzinger, T.H.; <i>On Physical Heart Regulation and Sense of Temperature in Man</i> ; Naval Medical Research Institute; Physiology; Volume 45; pp. 645-659; (February 26, 1959)	
	PI	Bigelo; <i>Hypothermia, Its Possible Role in Cardiac Surgery</i> ; 11/1959; pp. 849-866; Annals of Surgery, Vol. 132, No. 5.	
	PJ	Brengelmann, George L.; <i>Specialized Brain Cooling in Humans?</i> ; The FASEB Journal; Volume 7; pp. 1148-1153 (September 1993)	
	PK	Buggy, D., P. Higgins, C. Moran, F. O'Donovan, and M. McCarroll, <i>Clonidine at Induction Reduces Shivering after General Anesthesia</i> , 1997, pp. 263-67, Can. J. Anaesth., Vol 44, N. 3.	
	PL	Cabanac, M., <i>Selective Brain Cooling and Thermoregulatory Set-Point</i> , 1998, pp. 3-13, Journ. Of Basic & Clinical Physiology & Pharmacology, Vol. 9, N. 1.	
	PM	Cabanac, M.; <i>Selective Brain Cooling in Humans: fancy or fact?</i> ; The FASEB Journal; Volume 7; pp. 1143-1147 (September 1993)	
	PN	Capogna, G. and D. Celleno, <i>I.V. Clonidine for post-Extradural Shivering in Parturients: A Preliminary Study</i> , 1993, Brit. Journ. Of Anaesth., Vol. 71.	
	PO	Carrol et al. "A comparison of measurements from a temporal artery thermometer and a pulmonary artery thermistor—preliminary results," Fax correspondence dated October 19, 2001.	
	PP	Cheatle; <i>Cryostripping the Long and Short Saphenous Veins</i> ; 01/1993; one page; Br. J. Surg., Vol. 80.	

Examiner Signature		Date Considered	
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		First Named Inventor	John D. Dobak, III		
		Group Art Unit	3731		
		Examiner Name	Unknown		
Sheet	12	of	15	Attorney Docket Number	040003

PQ	Cheng, C. et al. (1995), "Increasing Mean Skin Temperature Linearly Reduces the Core-Temperature Thresholds for Vasoconstriction and Shivering in Humans," <i>Anesthesiology</i> 82(5):1160-1168, May.
PR	Colvett, K. T., A. F. Althausen, B. Bassil, N.M. Heney, F.V. McGovern, H.H. Young, II, D.S. Kaugman, A.L. Zietman, and W.U. Shipley, <i>Opportunities with Combined Modality Therapy for Selective Organ Preservation in Muscle-Invasive Bladder Cancer</i> , 1996, pp. 201-208, <i>Journ. Of Surgical Oncology</i> , Vol. 63.
PS	DeFord et al. "Design and evaluation of closed-loop feedback control of minimum temperatures in human intracranial tumours treated with interstitial hyperthermia," <i>Med. & Biol. Eng. & Comput.</i> 29:197-206, March 1991.
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PU	Dexter; <i>Blood Warms as It Blows Retrograde from a Femoral Cannulation Site to the Carotid Artery During Cardiopulmonary Bypass</i> ; 11/1994; pp. 393-397; <i>Perfusion</i> , Vol. 9, No. 6.
PV	Gentilello, L. M., "Advances in the Management of Hypothermia," <i>Horizons in Trauma Surgery</i> , April 1995, 75(2):243-256.
PW	Gillinov; <i>Superior Cerebral Protection with Profound Hypothermia During Circulatory Arrest</i> ; 11/1992; pp.1432-1439; <i>Ann. Thorac. Surg.</i> , Vol. 55.
PX	Giuffre, M. et al. (1991), "Rewarming Postoperative Patients: Lights, Blankets, or Forced Warm Air," <i>Journal of Post Anesthesia Nursing</i> , 6(6):386-393, December.
PY	Guffin, Anita, et al.; "Shivering Following Cardiac Surgery: Hemodynamic Changes and Reversal."; <i>Journal of Cardiothoracic Anesthesia</i> ; (February 1987); pp. 24-28; Vol. 1, No. 1
PZ	Haley, E. C. et al. "A Randomized Trial of Tirilazad Mesylate in Patients with Acute Stroke (RANTTAS)," <i>Stroke</i> , 1996, 27(9):1453-1458.
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QB	Iaizzo; <i>Facial Warming Increases the Threshold for Shivering</i> , 1999, <i>Journal of Neurosurgical Anesthesiology</i> , Vol. 11, No. 4, pp. 231-239.
QC	Imamaki; <i>Retrograde Cerebral Perfusion with Hypothermic Blood Provides Efficient Protection of the Brain</i> ; 07/1995; pp. 325-333; <i>Journal of Cardiac Surgery</i> , Vol. 10, No. 4, Part 1.
QD	Jolin; <i>Management of a Giant Intracranial Aneurysm Using Surface-Heparinized Extracorporeal Circulation and Controlled Deep Hypothermic Low Flow Perfusion</i> ; 08/1992; pp. 756-760; <i>Acta Anaesthesiologica Scandinavica</i> .

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			First Named Inventor	John D. Dobak, III	
			Group Art Unit	3731	
			Examiner Name	Unknown	
Sheet	13	of	15	Attorney Docket Number	040003

QE	Jos R.C. Jansen, Ph.D., et al. (1997) <i>Near continuous cardiac output by thermodilution.</i> Journal of Clinical Monitoring 13:233-239.
QF	Jessen et al., <i>Intravascular Heat Exchanger for Conscious Goats</i> , 1977
QG	Keegan, M. T. et al. "Shivering Complicating the Treatment of Neurologically Impaired Surgical and Intensive Care Unit Patients," <i>Anesthesiology</i> , September 1999, 91(3):874-876.
QH	Kimoto; <i>Open Heart Surgery under Direct Vision with the Aid of Brain-Cooling by Irrigation</i> ; 07/1955; pp.592-603; Surgery, vol. 39, No. 4.
QI	Kogaku "Sensor technology to control artificial organs," <i>KLA</i> , 22(4):295-300, August 1984 (in Japanese).
QJ	Kurz, Martin, et al.; "Naloxone, Meperidine, and Shivering.," <i>Anesthesiology</i> ; (December 1983); pp. 1193-1201; V. 79; No. 6
QK	Lennon, R. L., M.P. Hosking, M.A. Conover, and W.J. Perkins, <i>Evaluation of Forced-Air System for Warming Hypothermic Postoperative Patients</i> , 1990, pp. 424-427, <i>Anesth. Analg.</i> , Vol. 70.
QL	Leslie, K., D. I. Sessler, A. R. Bjorksten, M. Ozaki, T. Matsukawa, and M. Schroeder, <i>Propofol Causes a Dose-Dependent Decrease in the Thermoregulatory Threshold for vasoconstriction but has Little Effect on Sweating</i> , 08/1994, pp. 353-360, Vol. 81, N. 2.
QM	Maas, C. <i>Intermittent Antegrade Selective Cerebral Perfusion during Circulatory Arrest for Repair of Aortic Arch</i> . Perfusion, Vol. 12, No. 2, pp. 127-132, 1997.
QN	Marekovic, Z.; <i>Abstract of Renal Hypothermia in Situ by Venous Passages: Experimental Work on Dogs</i> ; 1980; Eur Urol 6(2); 1 page.
QO	Matsukawa, T., A. Kurz, D. I. Sessler, A. R. Bjorksten, B. Merrifield, and C. Cheng, <i>Propofol Linearly Reduces the Vasoconstriction and Shivering Thresholds</i> , 05/1995, pp. 1169-1180, <i>Anesthesiology</i> , Vol. 82, N. 5.
QP	Meden, P., K. Overgaard, H. Pedersen, G. Boysen, <i>Effect of Hypothermia and Delayed Thrombolysis in a Rat Embolic Stroke Model</i> , 1994, pp. 91-98, <i>Acta Neurol. Scand.</i> Vol. 90.
QQ	Meden; <i>The Influence of Body Temperature on Infarct Volume and Thrombolytic Therapy in a Rat Embolic Stroke Model</i> ; 02/1994; pp. 131-138; <i>Brain Research</i> , Vol. 647.
QR	Mercer and Jessen, <i>Effects of Total Body Core Cooling on Heat Production of Conscious Goats</i> , 1978

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		Application Number	Unknown		
		Filing Date	January 23, 2004		
		First Named Inventor	John D. Dobak, III		
		Group Art Unit	3731		
		Examiner Name	Unknown		
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QS	Milleret, Rene; <i>La cryo-chirurgie danes les varices des mimbres inferieurs</i> ; Angiologie; Supplement au no. 110.
QT	Milleret; Abstract of <i>Cryosclerosis of the Saphenous Veins in Varicose Reflux in the Obese and Elderly</i> ; 10.1981; one page; Phlebologie, Vol. 34, No. 4.
QU	Möller et al. "Temperature control and light penetration in a feedback interstitial laser thermotherapy system," <i>Int. J. Hyperthermia</i> , 12(1):49-63, 1996.
QV	Olshausen et al. "An isothermal flowmeter with improved frequency response for measuring tissue blood flow," <i>Pflügers Arch.</i> 367:97-102, 1976.
QW	Pais, S. O., K. D. Tobin, C. B. Austin, and L. Queral, <i>Percutaneous Insertion of the Greenfield Inferior Vena Cava Filter: Experience with Ninety-Six Patients</i> , 10/1988, pp. 460-464, <i>Journ. of Vascular Surg.</i> , Vol. 8, N. 4.
QX	Parkins; <i>Brain Cooling in the Prevention of Brain Damage During Periods of Circulatory Occlusion in Dogs</i> ; 04/1954; pp.284-289; <i>Annals of Surgery</i> , Vol. 140, No. 3.
QY	Patton, J. H, T. C. Fabian, M. A. Croce, G. Minard, F. E. Pritchard, and K. A. Kudsk, <i>Prophylactic Greenfield Filters: Acute Complications and Long-Term Follow-Up</i> ," 08/1996; pp. 231-237; <i>Journ. of Trauma: Injury, Infection, and Critical Care</i> , Vol. 41, N.2.
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RA	Rijken; <i>Plasminogen Activation at Low Temperatures in Plasma Samples Containing Therapeutic Concentrations of Tissue-Type Plasminogen Activator or Other Thrombolytic Agents</i> ; 10/1989; pp.47-52; place of publication unknown.
RB	Rohrer, M. J. and A. M. Natale, <i>Effect of Hypothermia on the Coagulation Cascade</i> , 10/1992, pp. 1402-1405, <i>Critical Care Medicine</i> , Vol. 20, N. 10.
RC	Schmid-Elsaesser, R. et al. (1999), <i>Combination Drug Therapy and Mild Hypothermia: A Promising Treatment Strategy for Reversible, Focal Cerebral Ischemia</i> , <i>Stroke</i> , 1891-1899, June.
RD	Schwartz, A.E. et al.; (1996); <i>Isolated cerebral hypothermia by single carotid artery perfusion of extracorporeally cooled blood in baboons</i> ; <i>Neurosurgery</i> 39(3):577-582.
RE	Schwartz; <i>Cerebral Blood Flow during Low-flow Hypothermic Cardiopulmonary Bypass in Baboons</i> ; 06/1994; pp. 959-964; <i>Anesthesiology</i> , Vol. 81, No.4.
RF	Schwartz; <i>Selective Cerebral Hypothermia by Means of Transfemoral Internal Carotid Artery Catheterization</i> ; 05/1996; pp.571-572; <i>Radiology</i> , Vol. 201, No. 2.

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	RG	Sessler, Daniel I.; "Mild Perioperative Hypothermia"; The New England Journal of Medicine; pp. 1730-1737; 336:1730-1737; (June 12, 1997)	
	RH	Sharkey, A., J. M. Lipton, M. T. Murphy, and A. H. Giesecke, <i>Inhibition of Postanesthetic Shivering with Radiant Heat</i> , 02/1987, pp. 249-252, <i>Anesthesiology</i> , Vol. 66, N. 2.	
	RI	Shiraki, K., N. Konda, and S. Sagawa, "Esophageal and Tympanic Temperature Responses to Core Blood Temperature Changes during Hyperthermia, <i>J. Appl. Physiol.</i> 61(1):98-102 (1986).	
	RJ	Simon, M., C. A. Athanasoulis, D. Kim, F. L. Steinberg, D. H Porter, B. H. Byse, S. Kleshinski, S. Geller, D. E. Orron, and A. C. Waltman; <i>Simon Nitinol Inferior Vena Cava Filter: Initial Clinical Experience</i> , 07/1989, pp. 99-103; <i>Radiology</i> .	
	RK	Steen; <i>The Detrimental Effects of Prolonged Hypothermia and Rewarming in the Dog</i> ; 08/1979 ;pp. 224-230; <i>Anesthesiology</i> , Vol. 52, No. 3.	
	RL	Vandam; <i>Hypothermia</i> ; 09/1959; pp.546-553; The New England Journal of Medicine.	
	RM	Villamaria, F. J., C. E. Baisden, A. Hillis, M. H. Rajab, and P. A. Rinaldi, "Forced-Air Warming is No More Effective than Conventional Methods for Raising Postoperative Core Temperature After Cardiac Surgery," <i>Journ. Cardiothoracic and Vascular Anesth.</i> , October 1997, 11(6):708-711.	
	RN	White; <i>Cerebral Hypothermia and Circulatory Arrest</i> ; 07/1978; pp.450-458; Mayo Clinic Proceedings, Vol. 53.	
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	RR	Zarins; <i>Circulation in Profound Hypothermia</i> ; 11/1972; pp. 97-104; <i>Journal of Surgical Research</i> , Vol. 14, N. 2.	
	RS	Zweifler, R. M. and D. I. Sessler, "Thermoregulatory Vasoconstriction and Shivering Impede Therapeutic Hypothermia in Acute Ischemic Stroke Patients," <i>Journ. Stroke and Cerebrovascular Diseases</i> , 1996, 6(2):100-104.	

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